

## UNITED STATES PATENT AND TRADEMARK OFFICE

UNDER SECRETARY OF COMMERCE FOR INTELLECTUAL PROPERTY AND DIRECTOR OF THE UNITED STATES PATENT AND TRADEMARK OFFICE

November 28, 2006

OLIFF & BERRIDGE, PLC P.O. BOX 19928 ALEXANDRIA, VA 22320 US

Dear Sir/Madam,

Your refund request for 10500714 in the amount of \$1,006.00 has been denied.

Applicants request for withdrawal was dismissed by the PCT legal staff.

PCT - National

703 308-9140 x231

# PATENT APPLICATION

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

ATTN:

Refund Section

David Roberts McMURTRY

Accounting Div. Office of Finance

Application No.: 10/500,714

Docket No.: 120300

Filed: July 6, 2004

For:

RE-ORIENTATABLE SAMPLE HOLDER

# REQUEST FOR REFUND TO DEPOSIT ACCOUNT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This Application was filed on July 6, 2004, with a total fee of \$1006,00.

This application was expressly abandoned on October 31, 2005. A refund of a portion of the basic fee, reflecting the search and examination fees, is requested because, in view of the express abandonment, the U.S. Patent and Trademark Office will not have to conduct a search or examine this application.

Accordingly, it is respectfully requested that these charges be re-credited to Deposit Account No. 15-0461 and that the Patent Office acknowledge this credit in writing.

Respectfully submitted,

James A. Oliff

Registration No. 27,075

Thomas J. Pardini

Registration No. 30,411

JAO:TJP/crh

Date: October 31, 2005

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, Virginia 22320 Telephone: (703) 836-6400

DEPOSIT ACCOUNT USE AUTHORIZATION Please grant any extension necessary for entry: Charge any fee due to our Deposit Account No. 15-0461



17 FEB 2006

Commissioner for Patents
United States Patent and Trademark Office
P.O. Box 1450
Alexandria, VA 22313-1450

OLIFF & BERRIDGE, PLC P.O. Box 19928 Alexandria, VA 22320

In re Application of: MCMURTRY, David, R.

U.S. Application No.: 10/500,714

PCT No.: PCT/GB03/00251

International Filing Date: 22 January 2003

Priority Date: 22 January 2002 Atty Docket No.: 120300

For: RE-ORIENTABLE SAMPLE

HOLDER

DECISION ON REFUND REQUEST

This decision is issued in response to the "Request For Refund To Deposit Account" filed 31 October 2005. No petition fee is required.

### **BACKGROUND**

On 22 January 2003, applicant filed international application PCT/GB03/00251 that claimed a priority date of 22 January 2002 and designated the United States. On 31 July 2003, a copy of the international application was communicated to the United States Patent And Trademark Office (USPTO) by the International Bureau (IB). The deadline for submission of the basic national fee was thirty months from the priority date, i.e., 22 July 2004.

On 06 July 2004, applicants filed a Transmittal Letter for entry into the national stage in the United States accompanied by, among other materials, payment of the basic national fee and an executed declaration.

On 29 November 2004, the United States Designated/Elected Office (DO/EO/US) mailed a Notification Of Acceptance (Form PCT/DO/EO/903) indicating that the requirements of 35 U.S.C. 371(c) were satisfied as of 06 July 2004.

On 24 March 2005, the application was published by the USPTO.

On 31 October 2005, applicant filed a "Petition For Express Abandonment To Avoid Publication Under 37 CFR 1.138(c)" and the "Request For Refund To Deposit Account" considered herein.

On 30 November 2005, the USPTO issued a "Decision Dismissing Petition Under 37 CFR 1.138(c)" noting that applicant's request for express abandonment will not be recognized because the application had already published.

#### **DISCUSSION**

In the present application, applicant properly paid the \$920 basic national fee applicable at the time for the present application, and \$86 in additional claims fees. Applicant now requests a refund of "a portion of the basic fee, reflecting the search and examination fees." The basis for this request is the intended withdrawal of the application, which applicant asserts will eliminate the necessity of a search or examination.

As noted above, applicant's request to withdraw the application has been dismissed by the USPTO, and the search and examination of the application has proceeded (a first Office action was mailed on 25 January 2006). Thus, the underlying rationale for the present refund request has been eliminated.

Moreover, even if the application had been effectively withdrawn, applicant's "change of purpose" in deciding to withdraw the application would not have justified a refund of the properly filed fees. While 37 CFR 1.26(a) permits the USPTO to refund "any fee paid by mistake or in excess of that required," the regulation expressly states that "[a] change of purpose after the payment of a fee, such as when a party desires to withdraw a patent filing for which the fee was paid ... will not entitle a party to a refund of such fee."

### **CONCLUSION**

The "Request For Refund To Deposit Account" is DISMISSED without prejudice.

If reconsideration on the merits of the petition is desired, a proper response must be filed within TWO (2) MONTHS of the mail date of the present decision. Any request for reconsideration should include a cover letter entitled "Renewed Refund Request" and must include an adequate showing that a refund of a portion of the basic national fee herein is appropriate under the applicable statutes and regulations.

Please direct further correspondence with respect to this petition to Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.

( Well

Richard M. Ross Attorney Advisor

Office of PCT Legal Administration

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